

Application for the Use of Civil Money Penalty Funds

Date of Application: 1/11/19

Instructions

Applicants shall submit this application request to the applicable State agency (SA) for initial review and recommendation. State agencies shall make an initial determination on the ability of the project to benefit or protect nursing home residents. State agencies will then forward the application to the Centers for Medicare & Medicaid Services (CMS) Regional Office (RO) for review and approval. CMS will respond to the SA within 45 days with approval, denial, or request for further information. After a determination by the SA and CMS RO, the applicant will be notified of the funding determination. Applicants may contact the applicable SA with questions regarding their CMP request.

Periodic reports may be required by each SA, and the outcome of the project, including the metrics outlined in this application, must be reported at the completion of the project period. In order to maintain compliance with 42 CFR 488.433, at a minimum, States will make information about the use of CMP funds publicly available, including the dollar amount, recipients, and results of the project.

Background

Please complete the following fields below.

1. Applicant Name (e.g., individual or entity): **Idaho Academy of Nutrition & Dietetics**

Address:

City:

County:

State or Territory: **Idaho**

Zip Code:

Tax Identification Number: **23-7083776**

2. If different from above, please provide the name and information for the primary contact of the project (i.e., telephone number, address, email):

Sue Linja, RDN, LD

or

Monica Perry, RDN, LD

208-861-3998

sue@sandsnutrition.com

2655 Starcrest Dr, Boise, ID 83712

208-890-5083

monica@idhca.org

3. Background of applicant (organization's/individual's history, capabilities, website, etc.):

The Idaho Academy of Nutrition and Dietetics (IAND) promotes the value of the dietetic professional through IAND membership, innovation in dietetic practice, and ongoing professional development in order to champion healthy lifestyles and nutrition-related public policy for the well-being of our members and the communities they serve.

The IAND is a state affiliate of the Academy of Nutrition and Dietetics, the world's largest organization of food and nutrition professionals founded in Cleveland, Ohio, in 1917, by a visionary group of women dedicated to helping the government conserve food and improve the public's health and nutrition during World War I.

Today, the IAND has over 400 credentialed practitioners — registered dietitian nutritionists, dietetic technicians, registered, and other dietetics professionals holding undergraduate and advanced degrees in nutrition and dietetics, and students — and is committed to improving health and advancing the profession of dietetics through research, education and advocacy. Fifty-seven (~15%) of the membership are currently employed/consultants in skilled nursing facilities.

4. Have other funding sources been applied for and/or granted for this proposal or project? **No**

If yes, please explain and identify sources and amount.

5. Are you a certified nursing home? **No**

If yes, please complete the following information.

CMS Certification Number:

or not applicable

Medicaid Provider Number:

or not applicable

Name of Management Company:

Chain Affiliation- Name and Address of Parent Organization:

Outstanding Civil Money Penalty (CMP) due? Yes No

Is the Nursing Home in bankruptcy or receivership? Yes No

The entity or nursing home which requests CMP funding is accountable and responsible for all CMP funds entrusted to it. If a change in ownership occurs after CMP funds are granted or during the course of the project, the project leader shall notify CMS and the State or territory agency within five calendar days. The new ownership shall be disclosed as well as information regarding how the project will be completed. A written letter regarding the change in ownership and its impact on the CMP application award shall be sent to the CMS RO and the State or territory agency.

Project Details

Please complete the followings fields below.

6. **Project Title:**

Idaho IDDSI (International Dysphagia Diet Standardization Initiative) Project

7. **Summary of the Project and its Purpose:** list a) the problem or gap this project is aiming to address, b) goals and/or objectives, and b) a plan to implement the project to include a timeline. Keep in mind that CMP funds shall only be used for activities that benefit or protect nursing home residents.

a. **PROBLEM THIS PROJECT AIMS TO ADDRESS:** The International Dysphagia Diet Standardisation Initiative (IDDSI) was founded in 2013 with the goal of developing new global standardized terminology and definitions to describe texture modified foods and thickened liquids used for individuals with dysphagia of all ages, in all care settings, and all cultures. Currently no skilled nursing facilities in Idaho have implemented IDDSI.

b. **GOAL:** More safely serve residents in skilled nursing facilities and across the continuum, with dysphagia.

c. **PLAN TO IMPLEMENT THE PROJECT:** The American Academy of Nutrition and Dietetics (AND) and the American Speech-Language-Hearing Association have voted to

formally support the implementation of IDDSI framework and definitions. Work is underway to develop an IDDSI implementation plan. IDDSI has already received reports from many organizations in the US and territories about IDDSI implementation process.

In December, 2017 members of the Idaho Academy of Nutrition and Dietetics (IAND) & American Speech-Language-Hearing Association (ASHA) formed a workgroup to begin discussing implementation & education on IDDSI across all provider types in Idaho. Consistency in transitions of care to various levels of care improves quality of care and outcomes. Therefore coordination of an IDDSI implementation plan is critical to success. May 1, 2019 has been identified as the launch date for IDDSI in the United States.

Plan:	Frequency	Purpose
Continue Idaho IDDSI workgroup meetings	Pre-grant (initiated in 2018) Monthly	Monitor & support US IDDSI implementation
Needs assessment questionnaire	Pre-grant Grant Post-grant	Ensure the project is meeting the needs of the residents in SNFs.
Idaho IDDSI electronic newsletter	Monthly	Education
Assemble & distribute IDDSI Toolkits	One per SNF facility	Education & implementation plan
7 Regional teams comprised of: <ul style="list-style-type: none"> • SLP • RDN • Possibly CDM,CFPP 	A facility visit over 3 year term of grant	Grassroots introduction, promotion, education, & support of implementation in all SNFs in Idaho.
Train the Trainer Session	One time (2019)	Train the Regional Teams
Recipe Development contest	Annual (2020 & 2021)	Promote sharing
Idaho IDDSI Educational Conference Roundtable	Annual (2020 & 2021)	Education

8. Describe how this project will directly benefit nursing home residents.

The International Dysphagia Diet Standardization Initiative project will directly benefit nursing home residents through the implementation of global standardized terminology and definitions for texture-modified foods and thickened liquids intended to improve the safety by all practitioners using the same diet names and definition; and care for individuals with dysphagia, a swallowing disorder, which affects an estimated 560 million people worldwide.

The International Dysphagia Diet Standardisation Initiative Framework has been accepted by the Academy of Nutrition & Dietetics and targeted for a May 1, 2019 implementation in the US. The Academy anticipates that the transition to the IDDSI framework will occur over the span of a few years. This transition will impact many areas of the profession and require multidisciplinary education & coordination across multidisciplinary practices.

IDDSI is an evidence-informed framework for texture modified food and thickened liquids developed by an international team through systematic review, multi-stakeholder surveys and review of existing national standards and common practice.

9. List any physical items that will be deliverables as a result of funding this project (e.g., electronics, training materials, curricula).

Training materials:

- **Implementation Toolkit consisting of:**
 - **Fork, spoon, syringes**
 - **USB with training curricula & handouts**
 - **Shelf-stable food & beverage samples**
 - **Magnetic reference chart**
 - **Box/container for toolkit**
- **Photocopying handouts for conference**
- **Incentive prizes for Recipe development**

10. List how the project's performance will be monitored or evaluated to include specific metrics. These metrics shall be submitted as part of the completion of the project or as frequently as required by the State or territorial agency.

Example: A project may include funding for technical assistance, training, and consultation to nursing homes over a one-year period. Example outcome metrics include the following: At the end of the one-year period, the applicant organization had conducted 12 in-person trainings with 1,455 attendees. A satisfaction questionnaire found that 70% of attendees were very satisfied with the trainings they received, 15% were satisfied, 3% were unsure, 10% were dissatisfied, and 2% were very dissatisfied. Nursing homes who sent at least one staff member to the training saw an improvement in influenza immunization rates by 3 percent and pneumococcal immunizations rates by 10 percent.

An Idaho IDDSI Project Grant Coordinator responsibilities will include:

- √ Continue Idaho IDDSI Workgroup conference calls;
- √ Recruit professionals to establish seven [7] regional teams;
- √ Coordinate a “train-the-trainer” session;
- √ Monitor Regional Team outreach;
- √ Develop “during” grant & “post” grant needs assessment questionnaires;
- √ Produce electronic newsletter;
- √ Monitor progress of implementation in the eighty-one (81) licensed skilled nursing facilities in the State of Idaho;
- √ Monitor quality measures related to dysphagia;
- √ Monitor annual re-certification survey findings related to 42 CFR 483.60;
- √ Work with event planner on annual Roundtable Conference.

11. Are there potential risks or barriers associated with implementing this project, and if so, what is your plan to address these concerns?

Accessing key players.

Implementation of the IDDSI requires support from the administrative staff (administrator, director of nursing, therapy department, kitchen staff, activities staff, and medical director) of the facility. Without their support change will not occur.

Plan to address this concern is the drafting of seven (7) “regional teams” consisting of at a minimum, Speech Therapist & Registered Dietitian Nutritionist (possibly a CDM, CFPP). These teams would be tasked with visiting the nursing homes in their region and initiating the conversation of implementation of IDDSI and offer educational support.

12. If applicable, list any other entities (e.g., individuals, organizations, associations, facilities, etc.) that will be partnering with the applicant on this project, whether or not the entity will be receiving funding (and how much funding), and what specific deliverables the entity is responsible for.

Idaho Speech-Language-Hearing Association (ISHA) will not be receiving funding. ISHA will partner with IAND in providing education and implementation of the diet within their scope of practice.

Idaho Association of Nutrition & Foodservice Professionals (I-ANFP) will not be receiving funding. Members will be included in the education and implementation plan since the majority of their membership are the Nutrition Service Directors in Idaho's skilled nursing facilities.

Idaho Medical Directors Association will not be receiving funding but skilled nursing facility medical directors will be encouraged to attend as one of the scholarshipped disciplines recommended to attend the annual Conference Roundtable.

Idaho Hospital Association will not be receiving funding. Partner with their Education Director to provide training to appropriate disciplines on IDDSI. The dysphagia diet orders most generally begin during hospitalization. Hospital clinical, medical, and therapy staff need to be educated and on-board for implementation to be successful.

Idaho Educational Institutions with SLP, RD, & CDM programs.

13. Specify the amount requested for the entire project. If it is a three-year project and requires \$25,000 per year, then enter \$75,000 in the second entry. If you are requesting \$25,000 for a one-year project, then enter \$25,000 in both entries. Please include an Excel spreadsheet with line items and costs of these items. Costs should be as detailed as possible. For example, travel should include the purpose, location, mileage rate, flight, and hotel costs. Personnel should include an hourly rate. Include a tab for each year of the project. Please include a description of costs and any non-CMP funds received for this project. **Attached budget.**

Amount Requested Per Year:

- **Year 1: \$ 14,108.**
- **Year 2: \$ 39,461.**
- **Year 3: \$ 44,527.**

Total Amt Requested: \$ 98,096.

Total non-CMP funds received for this project: \$ 0

14. Please list the time period of the project.

Number of Years: **3 years**

Specific Dates Proposed for the Project: **July 1, 2019 to June 30, 2022**

15. Please indicate which category this project should be considered.

Culture Change (e.g., "Culture change" is the common name given to the national movement for the transformation of older adult services, based on person-directed values and practices where the voices of elders and those working with them are considered and respected.)

Resident or Family Council



Direct Improvements to Quality of Care

Consumer Information (e.g., information that is directly useful to nursing home residents and their representatives to become knowledgeable about their rights, nursing home care processes, and other information useful to a resident)

Transition Preparation for a Nursing Home Resident



Training

Other, please specify.

Project and Applicant Requirements

Projects cannot:

- Exceed three years;
- Include funds for capital improvements to a nursing home or to build a nursing home (e.g., replacing a boiler, redesign of a nursing home);
- Include funding for nursing home services or supplies that are already the responsibility of the nursing home (e.g., staff, laundry services, linen, food);
- Include funds for temporary manager salaries; or
- Include supplementary funding of federally required services. For example, CMP funds may not be used to recruit or provide Long-Term Care Ombudsman certification training for staff or volunteers or investigate and work to resolve complaints.

Applicants must:

- **Be qualified and capable of carrying out the intended project(s) or use(s);**
- **Not have a conflict of interest relationship with the entity(ies) who will benefit from the intended project(s) or uses(s);**
- **Not be paid by a State or federal source to perform the same function as the CMP project(s) or use(s) (e.g., CMP funds may not be used to enlarge or enhance an existing appropriation or statutory purpose that is substantially the same as the intended project(s) or use(s)); and**
- **Not charge any individual, facility or other entity for any services, products, or training that was funded by CMP funds.**

Attestation Statement

CMP funds have been provided for the express purpose of enhancing quality of care and quality of life in nursing homes certified to participate in Title 18 and Title 19 of the Social Security Act. Failure to use CMP funds solely for certified nursing homes and for the intended purpose of the project proposal is prohibited by federal law. Failure to use the CMP funds as specified will result in denial of future grant applications and referral to the appropriate entity for Medicare/Medicaid fraud and program integrity. By signing below, you are confirming that everything stated in this application is truthful and are aware of the allowed uses of CMP funds.

Name of the Responsible Applicant: [Char Byington, RDN, LD](#)

Signature of the Responsible Applicant:

Date of Signature:

Appendix A

SAs or CMS ROs may request additional information from applicants based on the size, scope, duration, or amount of funding requested for a project. Below are suggested questions for SAs or ROs to consider:

- **Provide a biosketch or resume for the primary contacts of the applicant.**
 - **Attached**
- **Describe how the outcomes of the project will be sustained after the CMP funding has ended.**
 - **The Idaho Diet Manual 2020 Edition, currently under revision, will include the IDDSI, replacing the National Dysphagia Diet. Most all SNFs in Idaho utilize this as their primary Diet Manual as it is the Diet Manual that has been approved by the Idaho State Survey Agency for use in Idaho SNFs. Continuing education on this topic will be offered by partnering entities (Idaho Health Care Association, Idaho Academy of Nutrition & Dietetics, Idaho Association of Foodservice & Nutrition Professionals, Idaho Speech – Language - Hearing Association.**
- **If applicable, describe how the nursing home community (including resident and/or family councils and direct care staff) will be involved in development and implementation.**
 - **SNF direct care staff will be a part of the group that will be educated at the facility, prior to facility implementation. Ongoing education will need to be conducted at the facility by their staff development coordinator, dietitian, dietary manager, and/or speech professional.**
- **If applicable, provide information and metrics on the project’s previous performance and outcomes.**